

KEY PARTNER / AGENT INFORMATION (Refer General Instruction 1)				
ARN & ARN Name	Sub Agent's ARN / Bank Branch Code	Internal Code for Sub-Agent / Employee	Employee Unique Identification Number (EUIIN)	FOR OFFICE USE ONLY (TIME STAMP)
67723				
EUIIN Declaration (only where EUIIN box is left blank) (Refer General Instruction 1) ☐ I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.				
Sign Here First/ Sole Applicant/ Guardian / PoA Holder / Karta		Sign Here Second Applicant		Sign Here Third Applicant

(Please ☒ any one) ☐ I am a first time investor in Mutual Funds ☐ I am an existing investor in Mutual Funds (Default)

In case the purchase/ subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Transaction Charges in case of investments through SIP/MR SIP are deductible only if the total commitment investment (i.e. amount per SIP/MR SIP installment x No. of installments) amounts to Rs. 10,000/- or more and shall be deducted in 3-4 installments. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

FOLIO NO.:

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 The details in our records under the folio number mentioned alongside will apply for this application.

3. UNIT HOLDER INFORMATION (Refer General Instruction 4)

Mr.	Ms.	M/s.
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PAN#/ PEKRN#											KYC Identification No. (KIN):													[Please (✓)]	☐ #KYC Proof Attached(Mandatory)
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GENDER ☐ Male ☐ Female ☐ Other **DATE OF BIRTH[†] / INCORPORATION**

D	D
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M	M
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Y	Y	Y	Y
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Proof of date of birth (in case of minor)[†] (✓) ☐ Attached

^fDate of birth and Proof of Date of birth is mandatory in case of investments made on behalf of minor. If date of birth is available in KRA records the same shall be updated for this folio / investment. Applications shall be liable for rejection if the date of birth is not mentioned in the application form or not available in KRA records or in case of mismatch of date of birth.

Mr.	Ms.	M/s.
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[illegible]

PAN# / PEKR#								KYC Identification No. (KIN):										[Please (✓)]	☐ #KYC Proof Attached(Mandatory)
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Relationship with Minor@ **Please (✓)** ☒ Father ☐ Mother ☐ Court appointed Legal Guardian Proof of relationship with minor@ **Please (✓)** ☒ Attached @ Mandatory

MAILING ADDRESS OF FIRST / SOLE APPLICANT (Mandatory) (Address should be as per KYC records) (Refer General Instruction 4A)

CITY															STATE											PIN CODE									
CONTACT DETAILS OF FIRST / SOLE APPLICANT															Country Code				STD Code					Telephone : Off.											
Mobile No.												Res.																							
^Email Id																																			
Overseas Address (Mandatory for NRI/PIO/FII/FPI Applications)																																			

[^] On providing email-id investors shall receive scheme wise annual report or an abridged summary thereof / account statements/ statutory and other documents by email. (Refer General Instruction 9)

Non-Individual Investors involved in/ providing any of the mentioned services (Please tick anyone) ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning ☐ None of the above

TEAR HERE

Head Office : Sadhana House, 1st Floor, 570 P B Marg, Worli, Mumbai - 400018.

Date :	D	D		M	M		Y	Y	Y	Y
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ISC Stamp & Signature

Received from Mr./Ms./M/s.

an application for allotment of Units of the Plan / Option (as mentioned overleaf) of Mahindra Mutual Fund - along with Cheque / Demand Draft / Payment Instrument as detailed overleaf.

Please Note: All Purchases are subject to realisation of Cheques / Demand Drafts / Payment Instrument.

... continued overleaf

4. JOINT APPLICANT DETAILS, If any (Refer General Instruction 4) (in Case of Minor, there shall be no joint holders) Name shall be as per Aadhaar card

I. NAME OF SECOND APPLICANT

Mr. Ms. M/s.

KYC Identification No. (KIN): PAN# / PEKRN#

GENDER ☐ Male ☐ Female ☐ Other
[Please (✓)] ☐ #KYC Proof Attached(Mandatory)

II. NAME OF THIRD APPLICANT

Mr. Ms. M/s.

KYC Identification No. (KIN): PAN# / PEKRN#

GENDER ☐ Male ☐ Female ☐ Other
[Please (✓)] ☐ #KYC Proof Attached(Mandatory)

Please attach Proof, Refer General Instruction No 15 for PAN/PEKRN and No 17 for KYC.

5. APPLICANT DETAILS (Mandatory) (Refer general instruction 4)

5a. Status of Applicants (Refer General Instruction4D) (Please tick one)

Sole/First Applicant ☐ Individual ☐ Non Individual	☐ Resident Individual	☐ NRI-Repatriation	☐ NRI-Non Repatriation	☐ Partnership	☐ Trust	☐ HUF	☐ AOP	☐ PIO	☐ Company
	☐ Body Corporate	☐ FIs	☐ Minor through guardian	☐ BOI	☐ OCI	☐ LLP	☐ Bank	☐ FI	☐ Society / Club
	☐ Foreign National Resident in India	☐ QFI	☐ FPI	☐ Sole Proprietorship	☐ Non Profit Organisation	☐ Others _____ (Please specify)			
Second Applicant ☐ Individual ☐ Non Individual	☐ Resident Individual	☐ NRI-Repatriation	☐ NRI-Non Repatriation	☐ Partnership	☐ Trust	☐ HUF	☐ AOP	☐ PIO	☐ Company
	☐ Body Corporate	☐ FIs	☐ Minor through guardian	☐ BOI	☐ OCI	☐ LLP	☐ Bank	☐ FI	☐ Society / Club
	☐ Foreign National Resident in India	☐ QFI	☐ FPI	☐ Sole Proprietorship	☐ Non Profit Organisation	☐ Others _____ (Please specify)			
Third Applicant ☐ Individual ☐ Non Individual	☐ Resident Individual	☐ NRI-Repatriation	☐ NRI-Non Repatriation	☐ Partnership	☐ Trust	☐ HUF	☐ AOP	☐ PIO	☐ Company
	☐ Body Corporate	☐ FIs	☐ Minor through guardian	☐ BOI	☐ OCI	☐ LLP	☐ Bank	☐ FI	☐ Society / Club
	☐ Foreign National Resident in India	☐ QFI	☐ FPI	☐ Sole Proprietorship	☐ Non Profit Organisation	☐ Others _____ (Please specify)			

5b. Occupation Details [Please tick (✓)]

Sole/First Applicant Please select any one	☐ Private Sector Service	☐ Public Sector Service	☐ Government Service	☐ Student	☐ Professional	☐ Housewife	☐ Business	☐ Retired
	☐ Agriculturist	☐ Proprietorship	☐ Others _____ (Please specify)					
Second Applicant Please select any one	☐ Private Sector Service	☐ Public Sector Service	☐ Government Service	☐ Student	☐ Professional	☐ Housewife	☐ Business	☐ Retired
	☐ Agriculturist	☐ Proprietorship	☐ Others _____ (Please specify)					
Third Applicant Please select any one	☐ Private Sector Service	☐ Public Sector Service	☐ Government Service	☐ Student	☐ Professional	☐ Housewife	☐ Business	☐ Retired
	☐ Agriculturist	☐ Proprietorship	☐ Others _____ (Please specify)					

5c. Gross Annual Income / Net-worth (Rs.)

Sole/First Applicant (Please select any one)	Gross Annual Income or Net-worth	☐ Below 1 Lac	☐ 1 - 5 Lacs	☐ 5 - 10 Lacs	☐ 10 - 25 Lacs	☐ 25 Lacs - 1 Crore	☐ >1 Crore
		(Mandatory for Non-Individuals) Rs. _____ as on DD MM YYYY (Not older than 1 year)					
Second Applicant (Please select any one)	Gross Annual Income or Net-worth	☐ Below 1 Lac	☐ 1 - 5 Lacs	☐ 5 - 10 Lacs	☐ 10 - 25 Lacs	☐ 25 Lacs - 1 Crore	☐ >1 Crore
		(Mandatory for Non-Individuals) Rs. _____ as on DD MM YYYY (Not older than 1 year)					
Third Applicant (Please select any one)	Gross Annual Income or Net-worth	☐ Below 1 Lac	☐ 1 - 5 Lacs	☐ 5 - 10 Lacs	☐ 10 - 25 Lacs	☐ 25 Lacs - 1 Crore	☐ >1 Crore
		(Mandatory for Non-Individuals) Rs. _____ as on DD MM YYYY (Not older than 1 year)					

5d. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

Sole/First Applicant (Please select any one)	☐ I am a PEP	☐ I am Related to a PEP	☐ Not Applicable
Second Applicant (Please select any one)	☐ I am a PEP	☐ I am Related to a PEP	☐ Not Applicable
Third Applicant (Please select any one)	☐ I am a PEP	☐ I am Related to a PEP	☐ Not Applicable



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Scheme Name	Plan	Option / Sub-option / Facility	Frequency
Mahindra _____	☐ Regular ☐ Direct	☐ Growth ☐ Dividend Payout ☐ Dividend Re-investment	☐ Daily ☐ Weekly ☐ Monthly ☐ Others _____
Cheque / DD / Payment Instrument No. & Date	Drawn on (Bank and Branch)	Amount in Figures (Rs.)	

Frequency ¹ Monthly* ¹ Quarterly (*Default Frequency)

SIP / Micro SIP Date _____

6. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual investors including HUF should mandatorily fill separate FATCA/CRS form

	Sole/First Applicant/Guardian	Second Applicant	Third Applicant
Place of Birth			
Country of Birth			
Nationality	☐ Indian ☐ U.S. ☐ Others, please specify _____	☐ Indian ☐ U.S. ☐ Others, please specify _____	☐ Indian ☐ U.S. ☐ Others, please specify _____
Tax Residence Address Type (as per KYC records)	☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business
Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India?	☐ Yes / ☐ No	☐ Yes / ☐ No	☐ Yes / ☐ No
	If 'YES', please fill below for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card Holder / Tax Resident in the Respective countries		
Country of Tax Residency	(1) (2) (3)	(1) (2) (3)	(1) (2) (3)
Tax Identification Number OR Functional Equivalent	(1) (2) (3)	(1) (2) (3)	(1) (2) (3)
Identification Type (TIN of other, Please specify)	(1) (2) (3)	(1) (2) (3)	(1) (2) (3)
If TIN is not available, please tick the reason A, B, or C (as defined below)	1 ☐ A ☐ B ☐ C	2 ☐ A ☐ B ☐ C	3 ☐ A ☐ B ☐ C

Refer General Instructions 4C and 19

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax identification Numbers to its residents.

Reason B → No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected).

Reason C → Others; please state the reason thereof _____

7. BANK ACCOUNT DETAILS OF THE FIRST / SOLE APPLICANT (For redemption purpose) (Refer General Instruction 6 & 10)
(Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 8 below.)

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Bank Name _____

Branch Address _____ Branch City _____

Account No. _____ MICR Code _____ (The 9 digit code appears on your cheque next to the cheque number)

Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify) _____

IFSC Code*** _____ *** Refer General Instruction 6C (Mandatory for Credit via RTGS / NEFT) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

Unit holders will receive redemption / dividend proceeds directly into their bank account (as furnished in Section 8) via Direct credit / RTGS / NEFT facility unless specified otherwise in writing.

8. INVESTMENTS & PAYMENT DETAILS [Please (✓)] (Refer Instruction 7 for Scheme details and Instruction 5 & 8 for Payment and Third Party Payment Details)
The name of the first/ sole applicant must be pre-printed on the cheque for lumpsum Investment/ SIP Registration.

Scheme Name	Plan	Option / Sub-option / Facility	Frequency
Mahindra _____	☐ Regular ☐ Direct	☐ Growth ☐ Dividend Payout ☐ Dividend Re-investment	☐ Daily ☐ Weekly ☐ Monthly ☐ Others _____

Note: Multiple cheques not permitted with single application form.

Note: For Default options, please refer KIM.

8A. For Lumpsum Investment

Payment Type ☐ Non-Third Party Payment ☐ Third Party Payment (Please attach Third Party Payment Declaration Form)

Amount of Cheque / DD / Payment Instrument / RTGS/ NEFT in figures (Rs.)	DD Charges, if any	Net Cheque/ DD Amount	Cheque/ DD/ Payment Instrument/ UTR No. & Date	Drawn on Bank / Branch	Bank Account Number

8B. For investment through SIP / Micro SIP mode (Refer General Instruction 7)

Payment Type ☐ Non-Third Party Payment ☐ Third Party Payment (Please attach Third Party Payment Declaration Form)

Each SIP/ Micro SIP Amount (Rs.) _____ Frequency ¹ Monthly* ¹ Quarterly (*Default Frequency)

SIP/ Micro SIP Dates: ☐ Through Post Dated Cheques (PDC) ☐ Through NACH/ Direct Debit Mandate form

☐ 1st ¹ 5th ¹ 10th*	☐ 1st ¹ 2nd ¹ 3rd ¹ 4th ¹ 5th ¹ 6th ¹ 7th	☐ 8th ¹ 9th ¹ 10th* ¹ 11th ¹ 12th ¹ 13th ¹ 14th	☐ 15th ¹ 16th
☐ 15th ¹ 20th ¹ 25th	☐ 17th ¹ 18th ¹ 19th ¹ 20th ¹ 21st ¹ 22nd ¹ 23rd	☐ 24th ¹ 25th ¹ 26th ¹ 27th ¹ 28th ¹ 29th ¹ 30th	☐ 31st

(*Default Date) (You may select more than one SIP transaction dates)

SIP/ Micro SIP Period Start From End On OR ¹ Until cancelled Cheque Amount@ (Rs.)

First SIP/ Micro SIP Transaction via Cheque No. Cheque Dated Bank

Mandatory Enclosure (for existing investors if 1st SIP Installment is not by cheque) ¹ Blank cancelled cheque ¹ Copy of cheque

Note: For SIP through Auto Debit / NACH please also fill & attach SIP Registration cum Debit mandate form. @The first SIP cheque amount should be same as each SIP Amount.

SIP through Post Dated Cheques (Use CTS (Cheque Truncation System) Cheques only)

Period TO No. of cheques attached

The first cheque & the Post dated cheques should be drawn on the same bank & account number.

9. UNIT HOLDING OPTION ☐ DEMAT MODE* ☐ PHYSICAL MODE (Default) (Refer Instruction 12)

*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode. Please ensure that the sequence of the names as mentioned in the application form matches with that of the demat account. Investor opting to hold units in demat form, may provide a copy of the DP statement to enable us to match the demat details as stated in the application form.

NSDL	DP NAME	DP ID	I	N							Beneficiary Account No.								
CDSL	DP NAME	Beneficiary Account No.																	

10. NOMINATION (Refer Instruction 14) (Mandatory for new folios of Individuals where mode of holding is single) (For Units in Non-Demat Form)

Name and Address of Nominee(s)	Relationship with Applicant	Date of Birth	Name and Address of Guardian	Signature of Nominee (Optional)/ Guardian of Nominee (Mandatory)	Proportion (%) in which the units will be shared by each Nominee (should aggregate to 100%)
		(to be furnished in case the Nominee is a minor)			
Nominee 1					
Nominee 2					
Nominee 3					

OR
[Please (✓)] ☐ I/We do not wish to Nominate

11. DECLARATION & SIGNATURE/S (Refer Instruction 13)

I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any Regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:-

I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents (i.e. Scheme Information Document, Statement of Additional Information and Key Information Memorandum) and apply for allotment of Units of the Schemes of Mahindra Mutual Fund ('the Fund') indicated above. I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme is derived through legitimate sources only and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the Fund, I/we hereby authorize the Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I / We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the Mahindra Asset Management Company Private Limited (AMC) / the Fund and undertake to inform the AMC / the Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time. That in the event, the above information and/or any part of it is/are found to be false/ untrue/misleading, I/We will be liable for the consequences arising therefrom. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, AMC, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the AMC / the Fund, their appointed service providers or representatives responsible. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). I / We confirm that I / We are not residents(s) of Canada as defined under the applicable laws of Canada. I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT. **FATCA/CRS Certification/Declaration:** I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions which are part of the FATCA / CRS Annexure) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information (including change in tax residency status) in future promptly i.e. within 30 days of such change and also undertake to provide any other additional information as may be required at your end. **Applicable to NRIs only:** I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct.

SIGNATURE(S)		
(Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft / Payment Instrument.)		
Sign Here First / Sole Applicant / Guardian / PoA Holder / Karta	Sign Here Second Applicant	Sign Here Third Applicant